U.S. Departme	nt of Co	mmerce, Patent ar	nd Trademark Offic	e	Docket	No.		Serial No.		
					WHIT	/0002.A		10/770,352		
LIST OF REL	EVANT	ART CITED BY	APPLICANT	0100	Applicant					
Use several sl				MAY 2 4 2004	Carl E	. Whitcom	b			
		<del></del>		\2 E	Filing Date			Group		
				TRADE MARINE	Februa	ary 2, 2004	<u>,, , , , , , , , , , , , , , , , , , ,</u>	3643		
U.S. Patent Do	ocuments				<del>'</del>					
*Examiner		Document	Issue Date	Name	Class	Subcl	ass	Filing Date I	f	
STN	A	5,181,952	Jan. 26, 1993	Burton, et al.	1		1			
7/10	В	4,884,367	Dec. 5, 1989	Peter A. Lawton			1			
	c	5,575,112	Nov. 19, 1996	Gerard Scheubel						
<del></del>	D	5,544,447	Aug. 13, 1996	Easey, et al.						
	E	4,442,628	Apr. 17, 1984	Carl E. Whitcomb						
	F	4,510,712	Apr. 16, 1985	Carl E. Whitcomb		_			:	
	G	4,753,037	Jun. 28, 1988	Carl E. Whitcomb				<del></del>		
	Н	4,939,865	Jul. 10, 1990	Carl E. Whitcomb, et al.						
	I	5,557,886	Sep. 24, 1996	Carl E. Whitcomb	$\vdash$		<del> </del>			
	J	5,131,185	Jul. 21, 1992	Aart V. Wingerden						
	K	5,099,607	Mar. 31, 1992	Peter A. Lawton	-					
	L	5,241,784	Sep. 7, 1993	Elona I. Henry	┼─	<del>                                     </del>	<del>                                     </del>	<del>- </del>		
	M	4,497,132	Feb. 5, 1985	Carl E. Whitcomb	1	<del>                                     </del>	<del>                                     </del>			
	0	5,768,825	Jun. 23, 1998	Ralph E. Reiger		11-	<u> </u>			
-+-		10,,		•	$\dagger$	1-1-	<b>\</b>			
Foreign Pater	nt Docum	nents			<u> </u>	<u>.                                      </u>	4.			
				-			•••	Tra	nslation	
		Document Number	Date	Country	Class	Subo	lass	Yes	No	
STN	P	GB2129664A	May 23, 1984	United Kingdom	11					
	Q	JP2001352843	B Dec. 25, 2001	Japan	11		$\top$			
	R	FR2755950A1	May 22, 1998	France			$\top$			
OTHER ART	 Մ (Includ	ing Author. Title.	Date, Pertinent Pag	ges, Etc.)	+		_	, , ,, <u>-</u>		
	s			cement system; The Caledor	ian Tree	Company	. www	v.rootcontrol.con	n	
			Date Considered	4 / /		<del></del>				
Examiner	toni	M	Date Considered	1/8/05						

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

S. Departme	nt of Cor	nmerce, Patent a	nd Trademark Offi	ice	Dock	et No	<b>)</b> .		Serial No.	
<u> </u>					WHIT/0002.A 10/770,352					,
IST OF RELEVANT ART CITED BY APPLICANT					Applicant					
Jse several sh	neets if n	ecessary)			Carl	E. W	hitcon	ıb		
					Filing Date				Group	
					Febi	nuary	2, 2004	4	3643	
S. Patent Do	cuments									
Examiner		Document Number	Issue Date	Name	Clas	s	Subc	lass	Filing Date I Appropriate	f
SIN	T	4,508,776	Apr. 2, 1985	Smith		[·		$T^{-1}$		
<del></del>	Ū	4,829,707	May 16, 1989	Koffler						
-	v	5,205,432	Apr. 27, 1993	Gullan						
	w	5,533,301	Jul. 9, 1996	Weder et al						
	х	5,636,502	Jun. 19, 1997	Weder et al						
	Y	5,983,566	Nov. 16, 1999	Enderlein et al						
	Z	6,108,974	Aug. 29, 2000	Herzog-Mesrobian et al						
	<b>A</b> 1	6,142,299	Nov. 7, 2000	Weder et al		T -				
	Bi	6,161,332	Dec. 19, 2000	Avôt				<u> </u>		
	Cl	2,209,778	July 30, 1940	J. Krasowski						
	D1	3,052,063	Sept. 4, 1962	Rose-Marie Dunn					•	
Foreign Paten	t Docum	ents								
									Tra	nslation
		Document Number	Date	Country	Cla	ss ,	Sub	class	Yes	No
•							-			
<u>.</u>			<u> </u>	· · · · · · · · · · · · · · · · · · ·		•				
/	(Includi	superoots <sup>TM</sup> n	Date, Pertinent Pa	vstem: superoots products:	The Cal	edoni	an Tre	e Co.; C	owbrachill Tyne	head
SIN	EI	Pathhead Mid	lothian; EH37 5 X	T; Scotland UK; 5 pgs. reg	arding s	upero	ots fiel	der''''; v	www.superoots.c	com.
	Fl	McGraw-Hill 2003, 1994, 1	Dictionary of Scie 989, 1978, 1976,	entific and Technical Terms 1974 by McGraw-Hill Com	Sixth E manies,	dition Inc.	ı, page	423 and	1 page 1636; Cop	pyright ©
			-							

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

I.S. Departmen	nt of Co	mmerce, Patent and	l Trademark Offi	ce	Docket			Serial No.		
					WHIT/	0002.A		10/770,352		
IST OF RELI	EVANT	ART CITED BY	APPLICANT		Applicant					
Use several sh			• .		Carl E.	Whitco	omb			
		•			Filing D	Filing Date Group				
<del></del>			•		February 2, 2004 3643					
J.S. Patent Do	cuments									
Examiner	T	Document	Issue			Filing Date				
nitial		Number	Date	Name '	Class	Su	oclass	Appropriate		
STN	G1	4,646,467	Mar. 3, 1987	John P. Morrisroe						
1	HI	4,920,692	May 1, 1990	Kitamura et al			$\perp$			
	I1	560,966	May 26, 1896	Brown						
_	J1	3,798,837	Mar. 26, 1974	Oehmke et al						
	K1	2,626,483	Jan. 27, 1953	R J Service						
	L1	5,262,233	Nov. 16, 1993	Sudo et al						
	'M1	4,818,585	Apr. 4, 1989	Shipp, Jr						
	N1	4,250,661	Feb. 17, 1981	Kodera et al						
	01	5,402,600	Apr. 4, 1995	Tompkins				1		
	P1	2002/0038526	Apr. 4, 2002	Garrofe Morreres						
	Q1	5,937,577	Aug. 17, 1999	Butler et al						
	RI	6,612,072 B2	Sep. 2, 2003	Busby et al						
Foreign Paten	t Docum	nents .							<u> </u>	
								Tra	nslation	
		Document Number	Date	Country	Class	Si	ıbclass	Yes	No	
	+									
OTHER AR	(Includ	ing Author, Title,	Date, Pertinent P	ages, Etc.)						
971	SI			t Production in Containe	rs II, pgs 486	489				
	-	·								
Evening /	<u> </u>		Date Consider	red / /						
Examiner	mí	N	Date Consider	1/8/05						

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered include copy of this form with your communication to applicant.

Sheet	4	of	4	sheets

U.S. Department of Commerce, Patent and Trademark Office						Docke	t No.	٠	Serial No.			
							WHI	WHIT/0002.A 10/770,352				
LIST OF RELE	VANT	ART CITED BY	APPLIC	CANT			Appli	Applicant				
(Use several she	-			<del></del>		-	Carl	Carl E. Whitcomb				
				<del>.</del>	· · · · · · · · · · · · · · · · · · ·		Filing	Date	· · ·		Group	
								ary 2, 2	004		3643	
II C. Datant Dos	n.monts				· · · · · · · · · · · · · · · · · · ·			<del></del>			<u> </u>	
*Examiner Documents Initial Document Number		Document Number	Issue Date		Na	me	Class	S	Subclass		Filing Date If Appropriate	
STN	T1	6,202,348		1					<del></del>		<del>                                     </del>	
	Ul	5,311,700		-	<del></del>		-				1	
	V1	5,852,896				<del>  - `</del>				$\vdash$	<u> </u>	
	_W1_	3,094,810				ļ						
<del>.   -</del>	X1	6,223,466 B1				·	-	_	-			
	Yl	2,848,842		,		<u>.</u>					<del></del>	
				·								
<u> </u>												
	1											
					<u> </u>						<u> </u>	
Foreign Patent	Docume	nts										
	r						· · · · · · · · · · · · · · · · · · ·				T	ranslation
		Document Number	Date		Country		Class	s	ubclass	5	Yes	No
GIN	Zl	GB2,073,567A	10/19	81	United Kingd	om	i		$\exists$			
	A2	EP300,578 A3	01/19		European							
	B2	JP 406189635	07/19		Japan				$\perp$		ļ	
	C2	SE 141561 A	08/19		Sweden		-					·
1	D2	JP 406269228 A	09/19	94	Japan				- 1			
OTHER ART (	Includin	ng Author, Title, D	ate, Pe	rtinent Pa	ages, Etc.)			1	1			
6TA /	E2	www.psrc.usm.				ene"; 3 pg	s					
-7170	<del> </del>											
Examiner	1	1 <sub>0</sub> -	Date	Consider	ed /n/			<u></u>				
•EXAMINER: Init	1/ tial if refer	rence considered, wheth	her or not	citation is	in conformance wit	h MPEP 609;	Draw line thr	ough citat	ion if not	in con	formance and no	ot considered

U.S. Departm	ent of C	commerce, Patent a	nd Trademark O	ffice	Doc	ket No	<b>)</b> .		Serial No.	
		<u> </u>		The oci	WI	IT/00	02.A		10/770,352	
LIST OF RE	FVAN	T ART CITED BY	APPLICANT	0 2004 E	Арр	licant	· .			
		<del></del>	11111111111	HMV 0 3 2004 15	-		. Whitco	mb		
(Use several :	sneets 11	necessary)		WENT & TRAUGHT	Fili	ng Dat			Group	
							2, 2004		3643	
		•					-,			
U.S. Patent D	ocumen	ıts				•				
*Examiner Initial		Document Number	Issue Date	Name	C	lass	Subcla	ss	Filing Date If Appropriate	•
STN	A1	4,717,680	Jan. 5, 1988	Carl E. Whitcomb, et al.						
1	B1	5,301,465	Apr. 12, 1994	Dennis Caferro	$\top$					
	C1	6,311,443 B1	Nov. 6, 2001	Robert Allazetta		1		1		
	D1	6,195,938 B1	Mar. 6, 2001	William Chee Kay	$\top$	1	1.			
	E1	6,453,610 B2	Sep. 24, 2002	Mark Christopher Tonkin, et a	1	1-				
	Fl	4,574,522	Mar. 11, 1986	Ralph E. Reiger, et al.	+	+-	1			
	G1	6,481,593 B2	Nov. 19, 2002	Michael Banhagel	+	+	+	<u> </u>		<del></del>
<del></del>	HI	2,829,742	Apr. 8, 1958	W.T. Wallace	$\top$	†	1			
	II	4,730,953	Mar. 15, 1988	Paul L. Tarko	+	+	+	<del> </del>		
	J1	754,948	Mar. 15, 1904	J.H. White	+	†	1			
	K1	1,011,445	Dec. 12, 1911	S.L.A. Killion	$\top$	1			<del>-</del>	
	L1	3,951,294	Apr. 20, 1976	Clifford Arthur Wilson		+	<del>                                     </del>			<del>- ·. ·-</del>
	M1	3,291,437	Dec. 13, 1966	G.F. Bowden, et al		1	1			
	N1	2,405,056	July 30, 1946	Irving Rosenbloom	$\top$	1				
	01	4,920,695	May 1, 1990	Garden, Jeffrey S. S.	_	†				
	Pi	5,327,679	Jul. 12, 1994	Hawthorne, David V.	_	1	1			
Foreign Pate	<u> </u>	<u> </u>				1	<del>                                     </del>	<del></del>		
Toleigh Falc	III Docu	incho					_ <del>.</del>		Tran	nslation
			<u> </u>	1_	Τ		1			
	ŀ	Document Number	Date	Country	Cla	ISS	Subcla	iss	Yes	No
STN	Q		May, 2001	PCT	1.	.]				
7/10		A1	Y 1007	I DOT	1-	_		<del> </del>	<del>-</del>	<del></del>
<del>                                     </del>	RI			PCT	╁	+-	-	<del>                                     </del>		
	S1	WO 97/00003	January, 199	7 PCT			<u> </u>	<u> </u>	1	
OTHER AR	T (Inclu	ding Author, Title	Date, Pertinent	Pages, Etc.)				ı 		
Examiner	1/	n	Date Conside	ered //09/05		·				
•EYAMINED.	Initial if -	eference considered wi	hether or not citation i	is in conformance with MPEP 609; Dra	w line	through	citation if	not in cor	nformance and not c	onsidered.
Include copy of	this form	with your communicati	on to applicant.	· · · · · · · · · · · · · · · · · · ·						

Sheet	2	of	4	sheets
-------	---	----	---	--------

U Departmen	Department of Commerce, Patent and Trademark Office Docket No. Serial No.							
			WHIT/0002.A	10/770,352				
LIST OF RELE	VANT	ART CITED BY APPLICANT	Applicant					
(Use several she			Dr. Carl E. Whitcomb					
			Filing Date	Group				
			February 2, 2004	3643				
OTHER ART (	Includin	g Author, Title, Date, Pertinent Pages, Etc.)						
STN	T1	Carl Erwin Whitcomb, "Effects of Root Competition Bet submitted to the Graduate Faculty in Partial Fulfillment of PHILOSOPHY, P- 1-3, 52	of The Requirements for the L	Degree of DOCTOR OF				
	UI"	Carl E. Whitcomb, Eliot C. Roberts, and Roger Q. Land Investigations Between Woody Plants Or Between Wood Spring 1969, P- 326-328	dy and Herbaceous Plants, Ec	ology, Vol. 50, No 2, Early				
	Vi	Carl E. Whitcomb and Eliot C. Roberts, "Competition B Kentucky Bluegrass", Agronomy Journal, Volume 65, Ja	nuary-February, 1973, p126	i-129 <u>.</u>				
	W1	Ralph Reiger and Carl E. Whitcomb, "A Root Control S Report, P- 843, 1983		•				
	Xi	referenced on, p 341-342 of book by Carl Whitcomb, Pl	ers to stop root circling in containers", unavailable paper published in 1983, but f book by Carl Whitcomb, Plant Production in Containers II, 2003					
	Yl	Transplanting", Okla. State U. Ag Exp. Sta Research Re	Carl E. Whitcomb, "Root Development of Trees Grown in Field-Grow Fabric Containers Following Transplanting", Okla. State U. Ag Exp. Sta Research Report, P-872, 1985					
	Zl	removed for growing tree seedlings. The milk cartons w growing into the soil below. This may have been the first	Paper: Published in 1968 or 1969 copy unavailable. Subject: Using quart milk cartons with or without bottom removed for growing tree seedlings. The milk cartons were positioned on a raised wire frame to prevent roots growing into the soil below. This may have been the first situation where air-root-pruning occurred.					
	A2	Jim Ward and Carl E. Whitcomb, Nursery Problems Sol "Effects of Time of Potting and Root Pruning on Growt	h and Root Distribution of Lo	quat Seedlings", P-24-25				
	B2	Carl E. Whitcomb, "Growth of Carissa Grandiflora 'Bomicronutrient levels", 9 pages, circa 1971		·				
	C2	Carl E. Whitcomb, Experiment #14, "Effects of Contain Absence of Micronutrient Fertilizer on Root and Top G Nursery Problems Solutitions Through Research, June 5	rowth of Carissa Grandiflora	g Media and Presence or Var. Boxwood Beauty",				
	D2	Randy E. Davis and Carl E. Whitcomb, "Effects of Prop Seedlings", Reprint from The International Plant Propa	gators' Society, Volume 25, 1	975				
	E2	Robert S. Birchell and Carl E. Whitcomb, "Effects of C Nursery Research Field Day, Ag Exp Sta, OK State Uni	iv, October 1977, Research R	eport P-760				
	F2	Robert D. Hathaway and Carl E. Whitcomb, "The Effect and Survival of Bur Oak", Nursery Research Field Day Report P-777	, Ag Exp Sta, OK State Univ,	October 1978, Research				
·	G2	Sancho Dickinson and Carl E.Whitcomb, "Effects of Co Day, Ag Exp Sta, OK State Univ, October 1978, Resea	rch Report P-777					
	H2	Elizabeth Williams and Carl E. Whitcomb, "Effects of Seedlings", Nursery Research Field Day, Ag Exp Sta, C	OK State Univ, October 1979,	Research Report P-791				
	12	John D. Gibson and Carl E. Whitcomb, "Producing Tre South, August, 1980, P-12-15	e Seedlings in Square Bottom	aless Containers", Ornamentals				
Examiner	mí!	Date Considered 1/9/05		· .				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

i **				Sheet 3 of 4 sheets			
U.S. Departme	nt of Cor	mmerce, Patent and Trademark Office	Docket No.	Serial No.			
			WHIT/0002.A	10/770,352			
LIST OF REL	EVANT	ART CITED BY APPLICANT	Applicant				
(Use several sh			Dr. Carl E. Whitcomb				
(000 00 00 00 00 00 00 00 00 00 00 00 00			Filing Date	Group			
			February 2, 2004	3643			
OTHER ART	(Includin	ng Author, Title, Date, Pertinent Pages, Etc.)					
SN	J2	Carl E. Whitcomb, "Effects of Container and Producti Nursery Research Field Day, Ag Exp Sta, OK State Un					
	K2	Steve Wall and Carl E. Whitcomb, "A Comparison of Nursery Research Field Day, Ag Exp Sta, OK State U	Commercial Containers for Gro	owing Tree Seedlings",			
	L2	Carl E Whitcomb, "Growing Tree Seedlings in Contain Station Div of Ag, OK State Univ, P-18	ners", Bulletin 755 January 198	1 Agricultural Experiment			
	M2	Carl E. Whitcomb, "An "Easy" Way to Grow Specime Sta, OK-State Univ, October 1981, Research Report P		Research Field Day, Ag Exp			
	N2	Carl E. Whitcomb, "A Vertical Air-Root-Pruning Con Society Combined Proceedings for 1981, P-591-596	tainer", Reprints- Volume 31 In	ternational Plant Propagators			
	O2	Carl E. Whitcomb, "Inproving Root System Quality", Reprint from Research Report p-829 of the OK Ag Exp. October, 1982					
	P2	Ben Davis III and Carl E. Whitcomb, "Growing Pecar P-829 of the OK Ag. Exp. Sta. October, 1982	Seedlings in Containers", Repr	rint from Research Report			
	Q2	Robert D. Hathaway and Carl E. Whitcomb, "Nutrition Seedlings, J. Environ. Hort. 2 (1):9-12. March 1984	n and Performance of Container	-Grown Japanese Black Pine			
	R2	Robert D. Hathaway and Carl E. Whitcomb, "Contained Technique to Get Seedlings Off to Quick Start", Pecar					
	S2	Carl E. Whitcomb, "Roots for The Future, The International Volume 39, 1989, P-170-173	ational Plant Propagators' Socie	ty, Combined Proceedings			
	T2	Dr. Carl Whitcomb, "The Rootmaker Container", Flor	rida Nurseryman October 1989,	P-23-29			
	U2	"Container designed to aid plant's root development",					
	V2	Carl E. Whitcomb, "Top Ten PoInts of Plant Propagat Propagators' Society, Volume 48, 1988, P-558-561	tion", Combined Proceedings In	ternational Plant			
	W2	Carl E. Whitcomb, "Avoiding the Staking Delemma", Proceedings, Volume 50, 2000	published in the International P	lant Propagators Soc.			
	X2	Carl Whitcomb, "Seedling Development: The Critical Propagators' Society, Volume 51, 2001 P610-614	First Days@", Combined Proce	edings International Plant			
	Y2	Carl E. Whitcomb, "Containers vs. Poly Bags- Which production special issue of American Nurseryman	are better?, Reprinted from the	January 1, 1983, container			
	Z2	Carl E. Whitcomb and Jerry D. Williams, "Stair-step 0 66-67, 1985	Container for Improved Root Gr	rowth", HortScience 20(1)			
	A3	Dr. C. E. Whitcomb, "Effects of pot sizes on rooting of	of juniper cuttings", January 15,	1974, P-15, 73-74			
	B3	James D. Ward and Carl E. Whitcomb, "Nutrition of J Soc. Hort. Sci 104(4)P-:523-526. 1979	apanese Holly during Propagati	on and Production", J. Amer.			

Examiner Date Considered 19/05

<sup>•</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

				Sheet 4 of 4 sheets			
U.S. Departm	nent of Cor	nmerce, Patent and Trademark Office	Docket No.	Serial No.			
			WHIT/0002.A	10/770,352			
LIST OF RE	LEVANT	ART CITED BY APPLICANT	Applicant				
(Use several	sheets if no	ecessary)	Dr. Carl E. Whitcomb				
			Filing Date	Group			
			February 2, 2004	3643 .			
OTHER AR	Γ (Includin	ng Author, Title, Date, Pertinent Pages, Etc.)					
STN	C3	Carl E. Whitcomb, "Effect of Micronutrient Nutrition Reprints Volume 30 International Plant Propagators" S	During Propagaton ON Contain Society Combined Proceedings	ner Plant Production", for 1980, p-468-473			
	D3	Carl E. Whitcomb, Allan Storjohann and William D. V Growth", Reprints Volume 30 International Plant Prop P-462-467	Warde, "Micromax - Micronutro pagators' Society Combined Pro	ients for Improved Plant occedings for 1980,			
	E3	Carl E. Whitcomb, Ralph Reiger and Mike Hanks, "G June 1981, P-158-160	rowing Trees In Wire Baskets"	, Journal of Ariculture 7 (6):			
	F3	Frank E. Babcock and Carl E. Whitcomb, "N-P-K Effective University Research Report P-843, 1983	ects on Tree Seedling Growth"	, Agriculture Exp. Sta. OK			
	G3	P-57-58	Carl E. Whitcomb, "Why Large Trees Are Difficult To Transplant", Journal of Arboriculture 9(2): February 198 9-57-58				
	Н3	Michael Carney and Carl E. Whitcomb, "Effects of 2 Growth of 3 Woody Plants", J. Environ. Hort. 1(3):P-	-55-58, September 1983	·			
	13	Bonnie Lee Appleton and Carl E. Whitcomb, "Effects Tree Seedlings", J. Environ. Hort. 1(4):P-89-93. Dece		anting Date on The Growth of			
	J3	C. Chris Threadgill, Carl e. Whitcomb and Ronald Mc Media on The Growth of 4 Nursery Crops", J. Environ					
	K3	Whitcomb, Carl E., "Plant Production in Containers",					
	. L3	Whitcomb, Carl E. "Plant Production in Containers II 2003; pages 486-489.	l", Copyright 1984 by Carl e. V	/hitcomb, revised 1988 and			
•							
		<del>                                     </del>					
				<u> </u>			
		· · · · · · · · · · · · · · · · · · ·					
·	<del></del>			<u> </u>			
	<del></del>			<del> </del>			
	_						
			·				
				<del></del>			
Examiner	for To	Date Considered 1/9/05					

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.